

# agriculture, forestry & fisheries

Department: Agriculture, Forestry and Fisheries REPUBLIC OF SOUTH AFRICA DIRECTORATE GENETIC RESOURCES Private Bag X973, Pretoria, 0001 Harvest House Room 422, 30 Hamilton Street, Arcadia, Pretoria, 0002 Tel: 12 319 6382, Fax: 12 319 6329, E-mail: NompumeleloM@daff.gov.za

### APPLICATION FOR A TIME EXTENSION OF AN EXISTING PERMIT FOR ACTIVITIES WITH GMO'S IN SOUTH AFRICA CONTAINED USE AND TRIAL RELEASE

#### Directions for the applicant:

- Please consult the policy approved for extension permits, with particular reference to Section 7. (Available on the website of the department).
- Please complete all sections of this questionnaire. Please provide reasons if a particular section of this form is not completed ("not applicable" will not be accepted one must provide reasons why a particular question is not applicable).
- Please provide one original and 11 copies of the application with confidential information for use by the regulatory bodies appointed in terms of the Genetically Modified Organisms Act, 1997 (Act No. 15 of 1997). This copy must be clearly marked: CONFIDENTIAL
- Please provide one hard and an electronic copy of the application containing no confidential information. This copy must be clearly marked: NON-CONFIDENTIAL, and will be made available for public scrutiny. This copy of the application must be submitted to the Registrar one day after placing of the public notices.
- In case of a trial release activity, please conduct a public notification in accordance with Regulation 6 of the GMO Act, and making use of the guideline document available on the website of the department. Copies of the public notification must be submitted with the application.
- Please note that the time period provided for comments or objections to be submitted in response to a public notification must have expired with at least 15 working days prior an Executive Council meeting, in order for the application to be considered in that particular meeting.
- Proof of payment of the correct fee stipulated under the GMO Act must accompany the application. Please note that payments can not be made at the Registrar's office.
- All permits or letters submitted must be a certified copy of the original documentation if not submitted with the application.

## PART I:

Please provide the following information:

- 1) Applicant / Party of Import
- 2) Contact person
- 3) Complete address
- 4) Previous authorisations (permit number) and a detailed report of the activities conducted under the most recent permit.
- 5) Characteristics of the GMO
  - Designation of the transformed line
  - Phenotype
  - Construct
  - Promoter
  - Gene
  - Enhancer
  - Terminator (stop coding)
  - Selectable marker
- 6) Mode of transformation for each GMO
- 7) Aim of trial and estimated duration of the activity
- 8) In the event of a trial release application; information pertaining to each trial site in terms of the following
  - (i) Ordnance survey map of appropriate scale with site marked
  - (ii) GIS co-ordinates
  - (iii) Map indicating crops planted adjacent to the site and the distances involved
  - (iv) Description in terms of -
    - \* Size
    - \* Volume seed to be planted (in kg)
    - \* Soil
    - \* Groundwater level
    - \* Topography
    - \* Flora and fauna
    - \* Climate, especially prevailing winds
    - \* Former use

- \* Distance from the nearest human settlements, along with the size of such settlements
- \* Distance from surface waters
- \* Distance from environmentally and otherwise protected areas;
- \* History of the site
- (v) Description of the environment immediately surrounding the release site;
- (vi) The barriers planned in order to segregate the experiments comprising the trial release from the surrounding environment;
- (vii) The supervision and monitoring of the trial release
- (viii) The contingency plans to deal with extreme conditions such as storms, floods and bush fires during the course of the trial release;
- (ix) The provisions to remove or eliminate the GMO from the test site or any other place where it may be found upon completion of the trial release and to restore the test site and any such other place to its original form;
- (x) The arrangements for transporting the GMO to the release site.
- 9) In the event of a contained use application; the type of facility, registration number of the facility and level of containment
- 10) Monitoring and accidents
  - (i) Indicate the methods and plans for monitoring of the GMO
  - (ii) Indicate any emergency procedures that will be applied in the event of an accident
- 11) Pathogenic and ecological impacts
  - (i) Submit an evaluation of the foreseeable impacts, in particular any pathogenic and ecologically disruptive impacts.
- 12) Risk management
  - Please indicate any risk management measures that would be required during the activity.
- 13) What are the implications of the proposed activity with regard to the health and safety of the workers, cleaning personnel and any other person that will be directly or indirectly involved in the activity? Please take into consideration the provisions of the Occupational Health and Safety Act, 1993 (Act No. 181 of 1993) and accompanied regulations.
- 14) Indicate the proposed health and safety measures that would be applied to safeguard employees during the proposed activity.

- 15) COMPLETE THE AFFIDAVIT. The affidavit is an inseparable part of the application form.
- 16) COMPLETE THE RISK ASSESSMENT DOCUMENT (PART II). The risk assessment forms an inseparable part of the application form and must be completed in the format provided below.

# PART II Risk Assessment (In accordance with Annex III of the Cartagena Protocol ion Biosafety)

Risk assessment details				
1.	Country Taking Decision:	South Africa		
2.	Title:	<text entry=""></text>		
3.	Contact details:	<standard (job<br="" address="" contact="" details:="" function="" name,="">title/designation), organization, address, phone, fax, email, website&gt;</standard>		
LMO information				
4.	Name and identity of the living modified organism:	<text and="" between="" biological="" characteristic="" differences="" entry="" identity="" living="" modified="" of="" or="" organism="" organism,="" organisms="" parental="" recipient="" the="" those="" –=""></text>		
5.	Unique identification of the living modified organism:	<text entry=""></text>		
6.	Transformation event:	<text entry=""></text>		

7. Introduced or	Choose the trait from the following list:			
Modified Traits:	A. <u>Abiotic environmental tolerance</u>			
	<ul> <li>Altered photoperiod sensitivity</li> <li>Cold or heat tolerance</li> <li>Drought or water tolerance</li> <li>Other abiotic environmental tolerance</li> <li>B. <u>Altered growth, development and product quality</u></li> </ul>			
	<ul> <li>Altered ripening or flowering</li> <li>Coloration</li> <li>Fertility restoration</li> <li>Growth rate or yield</li> <li>Male sterility</li> <li>Nutritional composition (inc. allergenicity)</li> <li>Other growth, development and product quality</li> <li>Selectable marker genes and reporter genes</li> <li>Uptake or degradation of environmental pollutants</li> <li>Chemical tolerance</li> <li>Other chemical tolerance</li> <li>Animal vaccines</li> <li>Development of transplant organs</li> <li>Other medical products</li> <li>Production of pharmaceuticals</li> <li>Pest resistance</li> <li>Fungus resistance</li> <li>Insect resistance</li> <li>Nematode resistance</li> <li>Other pest resistance</li> <li>Virus resistance</li> <li>and</li> <li><text entry="" for="" list="" not="" on="" other,="" the=""></text></li> </ul>			
8. Techniques used for modification:	<controlled -="" common="" for="" please="" select<br="" techniques="" vocabulary="">techniques used for the transformation: plasmid carried by <i>Agrobacterium tumefaciens</i>, biolistic methods, breeding, electric shock (poration), osmotic shock&gt; and <text entry="" for="" list="" not="" on="" other,="" the="" –=""></text></controlled>			
9. Description of gene modification:	<text entry=""></text>			
	Characteristics of modification			
10. Vector characteristics (Annex III.9(c)):	<text -="" and="" any,="" characteristics="" entry="" host="" identity,="" if="" include="" its="" of="" or="" origin,="" range="" should="" source="" the="" vector,=""></text>			
11. Insert or inserts (Annex III.9(d)):	<text -="" acid<br="" characteristics="" entry="" genetic="" inserted="" nucleic="" of="" the="">and the function it specifies, and/or characteristics of the modification introduced&gt;</text>			
Recipient organism or parental organisms (Annex III.9(a)):				

12.	Taxonomic name/status of	<controlled agreed="" international="" standards="" vocabulary:=""></controlled>			
	recipient organism or parental organisms:	and <text entry="" for="" list="" not="" on="" other,="" the="" –=""></text>			
13.	Common name of recipient organism or parental organisms:	<controlled thesaurus="" vocabulary="" with=""> and <text entry="" for="" list="" not="" on="" other,="" the="" –=""></text></controlled>			
14.	Point of collection or acquisition of recipient or parental organisms:	<text entry=""></text>			
15.	Characteristics of recipient organism or parental organisms related to biosafety:	<text entry=""></text>			
16.	Centre(s) of origin of recipient organism or parental organisms:	<text -="" and="" coordinates="" describe="" entry="" exact="" geographical="" give="" location="" the=""></text>			
17.	Centres of genetic diversity, if known, of recipient organism or parental organisms:	<text -="" and="" coordinates="" describe="" entry="" exact="" geographical="" give="" location="" the=""></text>			
18.	Habitats where the recipient organism or parental organisms may persist or proliferate:	<text -="" description="" entry="" habitat="" may="" of="" or="" organisms="" persist="" proliferate="" the="" where=""></text>			
Donor organism or organisms (Annex III.9(b)):					
19.	Taxonomic name/status of donor organism(s)	<controlled agreed="" international="" standards="" vocabulary:=""> and <text entry="" for="" list="" not="" on="" other,="" the=""></text></controlled>			
20.	Common name of donor organism(s):	<controlled thesaurus="" vocabulary="" with=""> and <text entry="" for="" list="" not="" on="" other,="" the=""></text></controlled>			
21.	Point of collection or acquisition of donor organism(s):	<text -="" and="" coordinates="" entry="" exact="" geographical="" location="" the=""></text>			
22.	Characteristics of donor organism(s) related to biosafety:	<text -="" biological="" characteristics="" donor="" entry="" of="" organisms="" relevant=""></text>			
Intended use and receiving environment					

23.	Intended use of the LMO (Annex III 9(g)):	<text -="" changed="" compared="" entry="" including="" information="" intended="" living="" modified="" new="" of="" or="" organism="" organism,="" organisms="" parental="" recipient="" relating="" the="" to="" use=""></text>				
24.	Receiving environment (Annex III.9(h)):	<text -="" climatic<br="" entry="" geographical,="" information="" location,="" on="" the="">and ecological characteristics, including relevant information on biological diversity and centres of origin of the likely potential receiving environment&gt;</text>				
	Risk assessment summary					
25.	Detection/Identificatio n method of the LMO (Annex III.9(f)):	<text -="" and="" detection="" entry="" identification="" methods="" reliability="" sensitivity="" specificity,="" suggested="" their=""></text>				
26.	Evaluation of the likelihood of adverse effects (Annex III.8(b)):	<text -="" adverse<br="" an="" entry="" evaluation="" likelihood="" of="" the="" these="">effects being realized, taking into account the level and kind of exposure of the likely potential receiving environment to the living modified organism&gt;</text>				
27.	Evaluation of the consequences (Annex III.8(c)):	<text -="" adverse="" an="" be="" consequences="" effects="" entry="" evaluation="" of="" realized="" should="" the="" these=""></text>				
28.	Overall risk (Annex III.8(d)):	<text -="" adverse="" an="" and="" based="" being="" by="" consequences="" effects="" entry="" estimation="" evaluation="" identified="" likelihood="" living="" modified="" of="" on="" organism="" overall="" posed="" realized="" risk="" the=""></text>				
29.	Recommendation (Annex III.8(e)):	<text -="" a="" acceptable="" are="" as="" entry="" identification="" including,="" manage="" manageable,="" necessary,="" not="" of="" or="" recommendation="" risks="" strategies="" the="" these="" to="" where="" whether=""></text>				
30.	Actions to address uncertainty regarding the level of risk (Annex III.8(f)):	<text -="" about="" any="" been<br="" details="" entry="" further="" has="" information="" that="">requested where there is uncertainty regarding the level of risk, as well as any information on risk management strategies and/o monitoring of the LMO in the receiving environment&gt;</text>				
		Additional information				
31.	Availability of detailed risk assessment information:	<text -="" accessed="" and="" are="" assessment="" available="" be="" can="" details="" entry="" how="" indicate="" more="" on="" please="" risk="" the="" they="" whether=""></text>				
32.	Any other relevant information:	< Text entry - any other information that is relevant to the risk assessment. e.g. information of non CBI nature that was included in the original application but is not included in this form>				
33.	Attach document:	Not applicable to applicant				
		<specific 'upload'="" a="" and="" bch="" choose="" copy="" entry:="" file="" from="" local="" of="" option="" server="" source="" the="" to="" types=""></specific>				
	·	<text entry=""></text>				

#### AFFIDAVIT/VERKLARING/STATEMENT

(Moet ingevul word in teenwoordigheid van 'n Kommissaris van Ede / to be completed in the presence of a Commissioner of Oaths)

Ek/I	
ID-Nommer/Number	Ouderdom/Age
Woonadres/ Residing address	
Werkadres/working address	
Tel(w)	(h)(cell)
Verklaar onder eed in afrikaans / bevestig in a Declare under oath in English / confirm in Eng	
beswaar teen die aflê van die voorgeskrewe as bindend vir my gewete. I am familiar with, and understand the cont	e verklaring en begryp dit. Ek het geen beswaar/het eed. Ek beskou die voorgeskrewe eed/bevestiging tents of this declaration. I have no objection/have I consider the prescribed oath as binding to my
Plek/Place:	Datum/Date:
Tyd/Time:	
Handtekening/Signature:	
Ek sertifiseer dat bostaande verklaring deur	r my afgeneem is en dat die verklaarder erken dat

Ek sertifiseer dat bostaande verklaring deur my afgeneem is en dat die verklaarder erken dat hy/sy vertroud is met die inhoud van hierdie verklaring and dit begryp. Hierdie verklaring is voor my beëdig en verklaarder se handtekening/merk/duimafrduk is in my teenwoordigheid daarop aangebring.

I certify that the above statement was taken from me and that the deponent has acknowledge that he/she knows and understands the contents of the statement. The statement was sworn to/affirmed before me and deponents signature/mark/thumb print was placed thereon in my presence.

Te/at: .....op/on .....op/on .....

Kommisaris van Ede/Commissioner of Oaths

(inligting i.v.m. fisiese en posadres moet verskaf word, bv. Stempel van die polisiestasie details to be provided on physical and postal address e.g. stamp of police station)

Magsnommer /Rang/Naam – drukskrif Force number/Rank/Name - print